



Physiotherapy, Post-Surgical Rehabilitation, and Orthodontic Functional Therapy: Clinical Evaluation Frameworks, Translational Strategies, and Interdisciplinary Integration in Orthopedic and Orthodontic Care

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Abstract

Integrated physiotherapy and orthodontic functional therapy are essential for optimizing musculoskeletal and stomatognathic health. Structured post-surgical rehabilitation is clinically paramount to restore function, prevent complications, and ensure long-term success following orthopedic interventions. This review aims to synthesize the clinical and translational methodologies underpinning interdisciplinary care between orthopedic surgeons, orthodontists, and rehabilitation specialists. It examines key methodological and evaluation frameworks, including evidence-based protocols, functional outcome measures, and motion analysis. Major applications are explored, spanning rehabilitation after joint arthroplasty and fracture fixation, post-spinal surgery protocols, and the management of temporomandibular dysfunction through combined physiotherapy and functional appliance therapy. The article concludes that systematic, patient-centered rehabilitation frameworks, facilitated by cohesive interdisciplinary collaboration, significantly enhance functional recovery and patient-reported outcomes. Future research should focus on standardizing protocols, integrating digital monitoring technologies, and developing personalized rehabilitation strategies to advance the field of integrated orthopedic and orthodontic care.

Keywords: Orthopedic rehabilitation; Post-surgical physiotherapy; Orthodontic functional therapy; Clinical outcome evaluation; Interdisciplinary care; Functional recovery.

1. Introduction

Rehabilitation constitutes a cornerstone of modern orthopedic and orthodontic practice, transitioning interventions from structural correction to holistic functional restoration. In orthopedics, structured post-surgical physiotherapy is non-negotiable for achieving optimal outcomes following procedures such as joint replacements, fracture fixations, and spinal surgeries. It guides tissue healing, restores neuromuscular control, and reclaims mobility. Concurrently, in orthodontics, functional therapy extends beyond dental alignment to address underlying musculoskeletal imbalances, particularly within the temporomandibular complex and craniofacial structures. The convergence of these disciplines presents a compelling model for comprehensive patient care. This review delineates the clinical frameworks, translational strategies, and practical integration necessary for effective collaboration between surgeons, orthodontists, and rehabilitation specialists. Its objectives are to analyze established rehabilitation models, evaluate assessment methodologies, illustrate clinical applications, and discuss implementation challenges, all with a focus on patient-centered functional recovery.

2. Conceptual and Clinical Frameworks in Rehabilitation

Rehabilitation in musculoskeletal care is guided by established conceptual frameworks that translate theory into structured clinical practice.

2.1. Biomechanical and Neuromuscular Recovery Models

Post-injury or post-surgical recovery is conceptualized through phased models. The initial phase focuses on protection and tissue healing, progressively advancing to restore range of motion, load-bearing capacity, and joint kinematics. Neuromuscular re-education is integral, addressing proprioceptive deficits, muscle inhibition, and movement patterning to restore dynamic stability. These models provide the scaffold for protocol development, ensuring interventions are timed appropriately with biological healing stages ^[1].

2.2. Evidence-Based Rehabilitation Protocols

Clinical practice is increasingly driven by protocols derived from high-level evidence. These are procedure-specific guidelines that standardize the progression of therapeutic exercises, manual therapy, and modalities. For instance, protocols for total knee arthroplasty meticulously define milestones for weight-bearing, quadriceps activation, and range-of-motion goals, reducing variability in care and improving predictability of outcomes ^[2].

2.3. Functional Appliance Therapy Frameworks in Orthodontics

Orthodontic functional therapy utilizes removable or fixed appliances to modify jaw position and muscle function. Frameworks here involve diagnosis of skeletal discrepancies or temporomandibular disorders (TMD), followed by appliance selection (e.g., Twin Block, Frankel, splints) to guide growth, alter muscle engrams, and establish harmonious occlusal relationships. This approach treats the functional etiology of malocclusion, not just its dental manifestation ^[3].

2.4. Outcome Measurement and Clinical Assessment Tools

Robust assessment is critical. Frameworks incorporate both clinician-rated measures (e.g., goniometry, manual muscle testing) and validated patient-reported outcome measures (PROMs). The consistent use of tools like the Western Ontario and McMaster Universities Osteoarthritis Index (WOMAC) for knees or the Jaw Functional Limitation Scale (JFLS) for TMD allows for objective evaluation of recovery and therapy efficacy ^[4].

3. Methodological Approaches and Evaluation Frameworks

Rigorous methodology is essential to validate rehabilitation strategies and drive clinical innovation.

3.1. Randomized Controlled Trials and Comparative Outcome Studies

Randomized controlled trials (RCTs) remain the gold standard for evaluating the efficacy of specific physiotherapy interventions or functional appliance therapies. Comparative studies, including cohort and case-control designs, provide real-world evidence on the effectiveness of different rehabilitation pathways or interdisciplinary approaches versus standard care ^[5].

3.2. Functional Mobility Scoring Systems and Patient-Reported Outcomes

Functional assessment utilizes standardized scales such as the Timed Up and Go (TUG) test, Berg Balance Scale, and various gait analysis scores. PROMs, including the

aforementioned WOMAC, Neck Disability Index (NDI), and Orthognathic Quality of Life Questionnaire (OQLQ), capture the patient's perspective on pain, function, and quality of life, which are the ultimate goals of rehabilitation ^[6].

3.3. Imaging and Motion Analysis in Rehabilitation Assessment

Diagnostic imaging (radiographs, ultrasound, MRI) monitors structural healing and joint integrity. Advanced motion capture systems and gait laboratories provide objective, quantifiable data on movement kinematics and kinetics. This is particularly valuable in complex cases, such as post-stroke orthopedic rehabilitation or analyzing mandibular movement patterns in TMD ^[7].

3.4. Long-Term Follow-Up and Registry-Based Evaluation

Sustained success is measured through long-term follow-up studies and data from joint replacement or orthognathic surgery registries. These databases track implant survival, revision rates, patient satisfaction, and functional status over decades, offering powerful insights into the lasting impact of surgical and rehabilitative care ^[8].

4. Clinical Applications and Case-Based Integration

The integration of frameworks and methodologies is realized in specific clinical scenarios.

4.1. Rehabilitation after Joint Arthroplasty and Fracture Fixation

Post-arthroplasty physiotherapy follows phased protocols, beginning with inpatient mobilization and progressing to outpatient strengthening and functional retraining. For fractures, rehabilitation is dictated by fixation stability, focusing initially on adjacent joint mobility and progressing to graduated loading and task-specific training once union is achieved ^[2, 9].

4.2. Post-Spinal Surgery Physiotherapy Protocols

Rehabilitation after spinal surgery, such as discectomy or fusion, emphasizes core stabilization, neuromuscular control, and education on spine-sparing mechanics. Protocols are tailored to the surgical approach and stability of the construct, with a strong focus on preventing recurrent injury and promoting return to activity ^[10].

4.3. Temporomandibular Joint (TMJ) Rehabilitation and Orthodontic Functional Therapy

This area exemplifies interdisciplinary integration. Management of TMD and related malocclusions often combines physiotherapy (manual therapy, exercise, postural training) with orthodontic functional appliances (stabilization splints, repositioning splints). The physiotherapist addresses myofascial pain and joint mobility, while the orthodontist provides the occlusal appliance to unload the joint and stabilize the mandibular position ^[3, 11].

4.4. Pediatric Growth Modification and Interdisciplinary Management

Correction of skeletal Class II or Class III malocclusions in growing patients involves orthodontists using functional appliances to harness growth, while physiotherapists may complement this with myofunctional therapy to correct aberrant tongue posture and swallowing patterns. Early

intervention by both specialists can mitigate the need for future orthognathic surgery [12].

5. Translational Integration in Healthcare Systems
Implementing effective interdisciplinary care requires systemic strategies.

5.1. Multidisciplinary Rehabilitation Teams

Optimal care is delivered by coordinated teams comprising orthopedic surgeons, physiatrists, physiotherapists, orthodontists, and sometimes occupational therapists. Regular case conferences and shared electronic health records facilitate communication, ensuring all providers work towards cohesive, patient-specific goals [13].

5.2. Standardization of Therapy Protocols

Developing and adhering to institution-wide, evidence-based protocols reduces practice variation, improves efficiency, and sets clear expectations for patients and providers. These protocols should allow for personalization while maintaining core principles of tissue healing and functional progression.

5.3. Cost-Effectiveness and Health System Implementation

Integrated rehabilitation must demonstrate value. Studies show that structured post-surgical physiotherapy reduces length of hospital stay, complication rates, and readmissions, proving cost-effective. Implementing such programs requires advocacy, appropriate billing structures, and demonstrable outcome data to secure institutional support [14].

5.4. Digital Monitoring and Tele-Rehabilitation

Wearable sensors and mobile health applications enable remote monitoring of patient activity and exercise adherence.

7. Tables

Table 1: Major Rehabilitation Frameworks in Orthopedic and Orthodontic Care

Framework Category	Key Principles	Primary Objectives	Typical Applications
Biomechanical Recovery Model	Phased progression aligned with tissue healing; restoration of load, motion, and kinematics.	Restore structural integrity and mechanical function of joints/muscles.	Post-fracture rehab, joint arthroplasty, tendon repair.
Neuromuscular Re-education	Retraining proprioception, muscle activation patterns, and dynamic joint stability.	Re-establish sensorimotor control and coordinated movement.	Post-ACL reconstruction, shoulder instability, ankle sprains.
Functional Orthodontic Therapy	Use of appliances to alter jaw position, muscle function, and guide craniofacial growth.	Correct skeletal discrepancies, improve occlusal function, manage TMD.	Class II/III malocclusion in growing patients, TMJ disc displacement.

Table 2: Post-Surgical Rehabilitation Protocols and Clinical Indications

Surgical Procedure	Early Phase (0-2/6 wks)	Intermediate Phase (2-12 wks)	Advanced/Late Phase (>12 wks)	Key Goals
Total Knee Arthroplasty	Edema control, ROM (0-90°), quad sets, gait training with aid.	Full ROM, progressive strengthening, balance training, wean from aids.	Strength/power, functional tasks (stairs, squat), return to sport/activity.	Pain-free ambulation, restored ADL function.
Rotator Cuff Repair	Sling use, pendulum exercises, passive ROM.	Active-assisted ROM, initiate scapular and rotator cuff isometrics.	Progressive resistance, functional strengthening, sport-specific drills.	Restore pain-free shoulder mobility and strength.
Spinal Fusion (Lumbar)	Education, walking, gentle core activation.	Progressive core stabilization, neuromuscular control, postural training.	Advanced stabilization, lifting mechanics, conditioning.	Protect fusion, establish spine-sparing movement patterns.
TMJ Arthroscopy	Soft diet, gentle ROM exercises, pain/edema management.	Progressive mandibular ROM, gentle strengthening, joint mobilization.	Full functional training, occlusal appliance adjustment as needed.	Restore pain-free jaw function and range of motion.

Tele-rehabilitation platforms provide guided therapy sessions, enhancing access for remote patients and allowing for more frequent, low-cost follow-up, which can improve compliance and outcomes [15].

6. Challenges and Future Research Directions

Despite advances, significant challenges persist.

6.1. Variability in Rehabilitation Adherence

Patient compliance with home exercise programs and appointment attendance is a major limiting factor. Future strategies include behavioral coaching, gamification via apps, and simplifying protocol complexity to enhance adherence.

6.2. Standardization of Functional Outcome Measures

While many tools exist, a lack of universal, condition-specific core outcome sets can hinder comparison across studies and clinical settings. Consensus efforts to define mandatory outcome measures for given pathologies are needed.

6.3. Integration of Digital Technologies

The potential of artificial intelligence for personalized protocol adaptation, and the clinical validation of consumer-grade wearables for motion tracking, are rich areas for research to make precision rehabilitation a practical reality.

6.4. Future Directions in Personalized Rehabilitation Strategies

Moving beyond one-size-fits-all protocols, future research should leverage biomarkers, genetic factors, and detailed phenotyping to predict individual responses to specific therapeutic modalities, enabling truly personalized rehabilitation prescriptions.

Table 3: Evaluation Metrics and Outcome Assessment Tools

Assessment Domain	Specific Tool/System	Measured Parameters	Clinical Context
Function & Disability Scales	WOMAC (Knee/Hip), Neck Disability Index (NDI), Oswestry Disability Index (ODI)	Pain, stiffness, physical function related to specific joints/spine.	Osteoarthritis, cervical/lumbar spine disorders.
Patient-Reported Outcomes (PROMs)	PROMIS Physical Function, Jaw Functional Limitation Scale (JFLS), OQLQ	Patient's perception of function, limitation, and quality of life.	Broad musculoskeletal conditions, TMD, orthognathic surgery.
Performance-Based Tests	Timed Up and Go (TUG), 6-Minute Walk Test (6MWT), Berg Balance Scale	Functional mobility, endurance, static/dynamic balance.	Geriatric rehab, post-arthroplasty, neurological involvement.
Imaging & Motion Analysis	Gait Analysis (3D Motion Capture), Diagnostic Ultrasound, MRI	Joint kinematics/kinetics, soft tissue healing, structural pathology.	Complex gait abnormalities, tendon healing, intra-articular disorders.

Table 4: Orthodontic Functional Therapy Modalities and Clinical Applications

Therapy Modality	Description	Primary Clinical Application	Typical Patient Cohort
Removable Functional Appliances	Devices (e.g., Twin Block, Bionator) that postured the mandible forward to stimulate growth.	Correction of skeletal Class II malocclusion (retrognathic mandible).	Growing children and adolescents (peak growth period).
Fixed Functional Appliances	Appliances (e.g., Herbst, Forsus) fixed to dental arches to maintain continuous mandibular advancement.	Correction of Class II malocclusion; often used in late adolescence.	Non-compliant adolescents or those with minimal growth remaining.
TMJ Occlusal Splints	Removable acrylic appliances (stabilization, repositioning) that cover occlusal surfaces.	Management of TMD pain, myofascial pain, bruxism, disc displacement.	Adults and adolescents with TMD signs/symptoms.
Myofunctional Therapy	Exercises to retrain tongue posture, swallowing pattern, and orofacial muscle function.	Correction of oral habits, adjunct to orthodontic treatment, sleep-disordered breathing.	Children with tongue thrust, mouth breathing; all ages as adjunct.

Table 5: Advantages, Limitations, and Implementation Considerations of Interdisciplinary Rehabilitation Approaches

Aspect	Advantages	Limitations/Challenges	Implementation Considerations
Clinical Outcomes	Improved functional recovery, higher patient satisfaction, reduced complications.	Can lengthen initial planning/treatment timeline.	Establish clear, shared treatment goals and outcome metrics from outset.
Patient Compliance	Holistic care may improve engagement; multiple touchpoints for reinforcement.	Risk of "treatment fatigue" with multiple providers/appointments.	Coordinate schedules, streamline communication, use patient portals.
Cost & Resources	Potentially cost-effective long-term by preventing re-injury or further surgery.	Higher upfront costs due to multiple specialists.	Develop bundled payment models where appropriate; demonstrate ROI via outcome data.
Workflow Integration	Comprehensive care plan; leverages expertise of multiple disciplines.	Requires robust communication systems; risk of fragmented care if poorly managed.	Implement regular interdisciplinary case meetings; use integrated EHR templates.
Long-term Stability	Addresses both structural and functional causes, potentially enhancing stability.	Success dependent on sustained collaboration across treatment phases.	Define clear handoff points and long-term monitoring responsibilities.

8. Conclusion

This review underscores the critical role of structured, evidence-based rehabilitation frameworks in orthopedic and orthodontic care. The synergy between post-surgical physiotherapy and orthodontic functional therapy offers a powerful paradigm for addressing complex musculoskeletal and stomatognathic disorders. Success hinges on rigorous clinical evaluation, the application of validated translational methodologies, and, most importantly, seamless interdisciplinary collaboration focused on patient-centered functional outcomes. Strategic recommendations for the

future include the widespread adoption of standardized outcome measures, investment in digital health integration, and dedicated research into personalized rehabilitation algorithms. By advancing these areas, clinicians and researchers can significantly enhance the quality, efficacy, and accessibility of integrated musculoskeletal rehabilitation.

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